

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	A. Arning et al.	Examiner	Leslie Wong
Serial No.	09/747,515	Group Art Unit	2167
Filed	December 21, 2000	Docket No.	STL000011US2
TITLE	USING AN INDEX TO ACCESS A SUBJECT MULTI-DIMENSIONAL DATABASE		

CERTIFICATE UNDER 37 CFR 1.8:

hereby certify that this correspondence is being transmitted through the USPTO EFS-Web system over the Internet to the U.S. Patent and Trademark Office on July 26, 2006.

_____/Janaki K. Davda/
Janaki K. Davda

APPLICATION FOR PATENT TERM ADJUSTMENT UNDER 37 C.F.R. 1.705(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir/Madame:

Applicants believe that the Determination of Patent Term Adjustment under 35 U.S.C. 154(b) mailed on June 14, 2006 is incorrect. The Determination of Patent Term Adjustment under 35 U.S.C. 154(b) indicates that the Patent Term Adjustment is 158 days.

Applicants believe that the correct Patent Term Adjustment should be 164 days.

In particular, on January 5, 2005, applicants filed, via facsimile, a response to an Office Action mailed on October 6, 2004. Thus, applicants filed the response within three months from the mailing date of the Office Action. Applicants received an Auto-Reply Facsimile Transmission from the USPTO indicating that the response was received by the USPTO on January 5, 2005. *Applicants are attaching a copy of the Auto-Reply Facsimile Transmission and filed response.* However, PAIR shows that the response was received on January 12, 2005 at the USPTO. Therefore, the Patent Term Adjustment incorrectly attributes 6 days to Applicant delay associated with the January 12, 2005 filing. *Applicants are attaching a copy of the Patent Term Adjustment History from PAIR.* There were no circumstances constituting a failure to engage in

reasonable efforts to conclude processing or examination of such application with respect to the response to the Office Action mailed on October 6, 2005.

The patent application is not subject to a terminal disclaimer.

Applicants respectfully request correction of the Patent Term Adjustment.

Please charge the required fee set forth in 37 C.F.R. 1.18(e) of \$200.00 Deposit Account No. 09-0460.

The attorney of record invites the Examiner to contact her at (310) 553-7973 if the Examiner believes such contact would advance the prosecution of the case.

Dated: July 26, 2006

By:____/Janaki K. Davda/_____

Janaki K. Davda
Registration No. 40,684

Please direct all correspondences to:

David Victor
Konrad Raynes & Victor, LLP
315 South Beverly Drive, Ste. 210
Beverly Hills, CA 90212
Tel: 310-553-7977
Fax: 310-556-7984

TO: Fax Sender at 3105567984

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KONRAD RAYNES & VICTOR, LLP

315 S. Beverly Drive, Suite 210
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Telephone: (310) 556-7983
Facsimile: (310) 556-7984

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TO: Commissioner for Patents
Attn: Examiner Leslie Wong
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FROM: Janaki K. Davda

OUR REF: 0057.0021
TELEPHONE: 310-556-7983

Total pages, including cover letter: 15

PTO FAX NUMBER 1-703-872-9306

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Description of Documents Transmitted: AMENDMENT

Applicant: A. Arning et al.

KONRAD RAYNES & VICTOR, LLP

315 S. Beverly Drive, Suite 210
Beverly Hills, California 90212

Telephone: (310) 556-7983
Facsimile: (310) 556-7984

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TO: Commissioner for Patents
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Group Art Unit 2167
Patent Examining Corps
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Washington, D.C. 20231

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OUR REF: 0057.0021
TELEPHONE: 310-556-7983

Total pages, including cover letter: 15

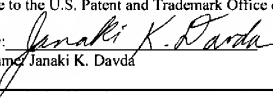
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Description of Documents Transmitted: AMENDMENT

Applicant: A. Arning et al.
Serial No.: 09/747,515
Filed: December 21, 2000
Group Art Unit: 2167
Docket No.: STL000011US2

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on January 5, 2005

By: 
Name: Janaki K. Davda

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

A. Arning et al.

Serial No.:

09/747,515

Filed:

December 21, 2000

For:

USING AN INDEX TO ACCESS A
SUBJECT MULTI-DIMENSIONAL
DATABASE

Examiner: Leslie Wong

Art Unit: 2167



Sir:

Transmitted herewith in the above-identified application is an:

X Amendment 12 pages.
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	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO PREVIOUSLY PAID FOR	PRESENT EXTRA RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE
TOTAL	33	MINUS 54	= 0	x	\$0	OR	x 50 \$0
INDEP CLAIMS	6	MINUS 6	= 0	x	\$0	OR	x 200 \$0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+	\$	OR	+ 360 \$
				TOTAL	\$0	OR	TOTAL \$0

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X Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

X Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Dated: January 5, 2005

Janaki K. Davda

Registration No. 40,684

KONRAD RAYNES & VICTOR, LLP

315 S. Beverly Drive, Suite 210

Beverly Hills, CA 90212

(310) 556-7983 (voice)

(310) 556-7984 (fax)

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Janaki K. Davda

Date 1/5/05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

In re Application of:

A. Arning et al.

Serial No.: 09/747,515

Filed: December 21, 2000

For: USING AN INDEX TO ACCESS A
SUBJECT MULTI-DIMENSIONAL
DATABASE

Examiner: Leslie Wong

Art Unit: 2167



24033

PATENT TRADEMARK OFFICE

Sir:

Transmitted herewith in the above-identified application is an:

X Amendment 12 pages.
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	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE	
TOTAL	33	MINUS	54	=	0	x	\$0	OR	x 50 \$0
INDEP CLAIMS	6	MINUS	6	=	0	x	\$0	OR	x 200 \$0
_____ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						+	\$	OR	+ 360 \$
					TOTAL		\$0	OR	TOTAL \$0

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Respectfully submitted,

Janaki K. Davda

Registration No. 40,684

KONRAD RAYNES & VICTOR, LLP

315 S. Beverly Drive, Suite 210

Beverly Hills, CA 90212

(310) 556-7983 (voice)

(310) 556-7984 (fax)

Dated: January 5, 2005

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Janaki K. Davda

Date 1/5/05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: A. Arning et al. Examiner: Leslie Wong
Serial No.: 09/747,515 Group Art Unit: 2167
Filed: December 21, 2000 Docket No.: STL000011US2
TITLE: USING AN INDEX TO ACCESS A SUBJECT MULTI-DIMENSIONAL
DATABASE

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being transmitted by facsimile to Leslie Wong of the U.S. Patent and Trademark Office at 703-872-9306 on January 5, 2005


Janki K. Davda

AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Examiner:

Amendments to the Claims are reflected in the listing of claims which begins on page 2.

Remarks/Arguments begin on page 8.

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of Claims

55. (Currently Amended) A method of accessing a subject multi-dimensional database stored on a data store connected to a computer, comprising:
receiving an indication of a number of features of said subject multi-dimensional database to be identified and an indication of a feature identification technique;
performing feature identification to identify the indicated number of features using the indicated feature identification technique; and
creating an index for the subject multi-dimensional database using the identified number of features.

56. (Previously Presented) The method of claim 55, wherein creating the index comprises creating a multi-dimensional database that is derived from the subject multi-dimensional database.

57. (Previously Presented) The method of claim 55, wherein receiving the number of features to be identified comprises receiving a parameter value.

58. (Previously Presented) The method of claim 55, wherein performing feature identification comprises generating an ordered list of multi-dimensional points.

59. (Previously Presented) The method of claim 58, further comprising creating the index using the list of multi-dimensional points.

60. (Previously Presented) The method of claim 55, wherein creating the index comprises storing deviation values for each of the identified number of features.

61. (Currently Amended) An apparatus for accessing a subject multi-dimensional database, comprising:

a computer having a data store coupled thereto, wherein the data store stores a subject multi-dimensional database; and,

one or more computer programs, performed by the computer, for receiving an indication of a number of features to be identified and an indication of a feature identification technique, performing feature identification on the multi-dimensional database to identify the indicated number of features using the indicated feature identification technique, and creating an index for the subject multi-dimensional database using the identified number of features.

62. (Original) The apparatus of claim 61, wherein the index comprises a multi-dimensional database that is derived from the subject multi-dimensional database.

63. (Original) The apparatus of claim 61, wherein the number of features to be identified is received as a parameter value.

64. (Original) The apparatus of claim 61, wherein feature identification comprises generating an ordered list of multi-dimensional points.

65. (Previously Presented) The apparatus of claim 64, further comprising creating the index using the list of multi-dimensional points.

66. (Original) The apparatus of claim 61, wherein the index stores deviation values for each of the identified number of features.

67. (Currently Amended) An article of manufacture comprising a program storage medium readable by a computer and embodying one or more instructions executable by the computer to access a subject multi-dimensional database stored on a data store connected to the computer, comprising:

receiving an indication of a number of features to be identified in said multi-dimensional database and an indication of a feature identification technique;

performing feature identification to identify the indicated number of features using the indicated feature identification technique; and

creating an index for the subject multi-dimensional database using the identified number of features.

68. (Original) The article of manufacture of claim 67, wherein the index comprises a multi-dimensional database that is derived from the subject multi-dimensional database.

69. (Original) The article of manufacture of claim 67, wherein the number of features to be identified is received as a parameter value.

70. (Original) The article of manufacture of claim 67, wherein feature identification comprises generating an ordered list of multi-dimensional points.

71. (Previously Presented) The article of manufacture of claim 70, further comprising creating the index using the list of multi-dimensional points.

72. (Original) The article of manufacture of claim 67, wherein the index stores deviation values for each of the identified number of features.

73. (Previously Presented) A method of accessing a subject multi-dimensional database stored on a data store connected to a computer, comprising:
receiving an indication of a number of features of said subject multi-dimensional database to be identified;
performing feature identification to identify the indicated number of features; and
creating an index for the subject multi-dimensional database using the identified number of features, wherein the index comprises a second multi-dimensional database that is derived from the subject multi-dimensional database.

74. (Previously Presented) The method of claim 73, wherein receiving the number of features to be identified comprises receiving a parameter value.

75. (Previously Presented) The method of claim 73, wherein performing feature identification comprises generating an ordered list of multi-dimensional points.

76. (Previously Presented) The method of claim 75, further comprising creating the index using the list of multi-dimensional points.

77. (Previously Presented) The method of claim 73, wherein creating the index comprises storing deviation values for each of the identified number of features.

78. (Previously Presented) An apparatus for accessing a subject multi-dimensional database, comprising:
a computer having a data store coupled thereto, wherein the data store stores a subject multi-dimensional database; and,
one or more computer programs, performed by the computer, for receiving an indication of a number of features to be identified, performing feature identification on the

multi-dimensional database to identify the indicated number of features, and creating an index for the subject multi-dimensional database using the identified number of features, wherein the index comprises a second multi-dimensional database that is derived from the subject multi-dimensional database.

79. (Previously Presented) The apparatus of claim 78, wherein the number of features to be identified is received as a parameter value.

80. (Previously Presented) The apparatus of claim 78, wherein feature identification comprises generating an ordered list of multi-dimensional points.

81. (Previously Presented) The apparatus of claim 80, further comprising creating the index using the list of multi-dimensional points.

82. (Previously Presented) The apparatus of claim 78, wherein the index stores deviation values for each of the identified number of features.

83. (Previously Presented) An article of manufacture comprising a program storage medium readable by a computer and embodying one or more instructions executable by the computer to access a subject multi-dimensional database stored on a data store connected to the computer, comprising:

receiving an indication of a number of features to be identified in said multi-dimensional database;

performing feature identification to identify the indicated number of features; and

creating an index for the subject multi-dimensional database using the identified number of features, wherein the index comprises a second multi-dimensional database that is derived from the subject multi-dimensional database.

84. (Previously Presented) The article of manufacture of claim 83, wherein the number of features to be identified is received as a parameter value.

85. (Previously Presented) The article of manufacture of claim 83, wherein feature identification comprises generating an ordered list of multi-dimensional points.

86. (Previously Presented) The article of manufacture of claim 85, further comprising creating the index using the list of multi-dimensional points.

87. (Previously Presented) The article of manufacture of claim 83, wherein the index stores deviation values for each of the identified number of features.

REMARKS/ARGUMENTS

Claims 55-87 are pending in the application. Claims 55, 61, and 67 have been amended. Reconsideration is respectfully requested. Applicants submit that the pending claims 55-87 are patentable over the art of record and allowance is respectfully requested of claims 55-87.

In paragraph 4, the Office Action rejects claims 55-59, 61-65, and 67-71 under 35 U.S.C. §103(a) as being unpatentable over Chen et al. (U.S. Patent No. 5,727,199) in view of Ho et al. (Range Queries in OLAP Data Cubes). Applicants traverse these rejections for the following reasons.

Claims 55, 61, and 67 describe receiving an indication of a number of features of said subject multi-dimensional database to be identified and an indication of a feature identification technique (e.g., Specification, page 12, line 10-page 13, line 2), performing feature identification to identify the indicated number of features using the indicated feature identification technique (e.g., Specification, page 12, line 10-page 13, line 2), and creating an index for the subject multi-dimensional database using the identified number of features.

The Chen patent describes a first phase, called a feature identification phase, that identifies features, which have good power in separating data tuples, based on a subset of the training set (Abstract). In a second phase, called the feature combination phase, the identified features are evaluated in combination against the entire training set to determine final classification rules (Abstract). At Col. 2, lines 26-30, the Chen patent describes that the invention is for database mining, wherein the operations of multi-feature extraction and development of classification rules from a large training database are performed more efficiently than previously known. At Col. 2, line 60 - Col. 3, line 6, the Chen patent describes first evaluating each feature in a subset of the training set as a function of its correlation with a group identifier, and identifying those features, evaluated as having an ID score exceeding a predetermined ID threshold, and, second, combining identified features into feature pairs and evaluating each feature pair in the training set as a function of its correlation with any one of the

group identifiers, and identifying those feature pairs having a combination score which exceeds a predetermined feature combination threshold, and classifying the database as a function of the identified features and the identified feature pair. Thus, the features of the Chen patent are used to classify the database and are not used to create an index.

Moreover, the claimed subject matter receives an indication of a feature identification technique and performs feature identification to identify the indicated number of features using the indicated feature identification technique. Thus, any one of many feature identification techniques may be used with Applicants' claimed invention. The specific feature identification technique used in the Chen patent teaches away from receiving an indication of a feature identification technique and using that indicated feature identification technique.

The Ho reference presents fast algorithms for range queries for two types of aggregation operations: SUM and MAX (Abstract). Because the Chen patent uses features for classifying a database (rather than to build an index), there is no motivation to combine the Chen patent with the Ho reference. However, even if combined, the combination does not result in Applicants' claimed invention.

The Ho reference merely describes that a multidimensional database is indexed by the values of d functional attributes. There is no teaching or suggestion in the Ho reference of receiving an indication of a feature identification technique and using that indicated feature identification technique.

Therefore, claims 55, 61, and 67 are not taught or suggested by the Chen patent or the Ho reference, either alone or in combination.

Dependent claims 56-59, 62-65, and 68-71 incorporate the language of independent claims 55, 61, or 67, respectively, and add additional novel elements. Therefore, dependent claims 56-59, 62-65, and 68-71 are not taught or suggested by the Chen patent or the Ho reference, either alone or in combination, for at least the same reasons as were discussed with respect to claims 55, 61, and 67.

In paragraph 5, the Office Action rejects claims 60, 66, and 72 under 35 U.S.C. §103(a) as being unpatentable over Chen et al. (U.S. Patent No. 5,727,199) in view of Ho et al. (Range Queries in OLAP Data Cubes) and in further view of Agrawal et al. (U.S. Patent No. 6,094,651). Applicants traverse these rejections for the following reasons.

Claims 60, 66, and 72 describe that creating the index comprises storing deviation values for each of the identified number of features.

The Agrawal patent describes locating data anomalies in a k-dimensional data cube that includes the steps of associating a surprise value with each cell of a data cube, and indicating a data anomaly when the surprise value associated with a cell exceeds a predetermined exception threshold (Abstract).

The Agrawal patent does not describe the claimed index. Furthermore, the Agrawal patent does not cure the deficiencies of the Chen patent and the Ho reference in that the Agrawal patent does not teach or suggest receiving an indication of a feature identification technique and using that indicated feature identification technique.

In paragraph 6, the Office Action rejects claims 73-76, 78-81, and 83-86 under 35 U.S.C. §103(a) as being unpatentable over Chen et al. (U.S. Patent No. 5,727,199) in view of Ho et al. (Range Queries in OLAP Data Cubes) and in further view of Information Builders Inc. Applicants traverse these rejections for the following reasons.

As discussed above, the Chen patent does not use features to build an index and the Ho reference merely states that a multi-dimensional database is indexed by the values of *d* functional attributes. Also, the Office Action submits that the Chen patent and the Agrawal patent (which Applicants assume should be the Ho reference as this was cited in the rejection) do not teach that the index comprises a second multi-dimensional database that is derived from the subject multi-dimensional database. The Office Action submits that the Information Builders, Inc. reference teaches this. Applicants respectfully traverse.

The Information Builders, Inc. reference describes that an index is separate from data and describe that Information Builders, Inc. provides a multi-dimensional database that serves as a front end for relational and legacy databases. The mere mention of an index does not teach or suggest that the index is a multi-dimensional database.

Therefore, claims 73, 78, and 83 are not taught or suggested by the Chen patent, the Ho reference or the Information Builders, Inc. reference, either alone or in combination.

Dependent claims 74-76, 79-81, and 84-86 incorporate the language of independent claims 73, 78, and 83, respectively, and add additional novel elements. Therefore, dependent claims 74-76, 79-81, and 84-86 are not taught or suggested by the Chen patent, the Ho reference or the Information Builders, Inc. reference, either alone or in combination, for at least the same reasons as were discussed with respect to claims 73, 78, and 83.

In paragraph 7, the Office Action rejects claims 77, 82, and 87 under 35 U.S.C. §103(a) as being unpatentable over Chen et al. (U.S. Patent No. 5,727,199) in view of Ho et al. (Range Queries in OLAP Data Cubes) and Information Builders Inc. and in further view of Agrawal et al. (U.S. Patent No. 6,094,651). Applicants traverse these rejections for the following reasons.

Claims 77, 82, and 87 describe that creating the index comprises storing deviation values for each of the identified number of features. The Office Action submits that the Chen patent, the Agrawal patent (which Applicants assume should be the Ho reference as this was cited in the rejection), and the Information Builders, Inc. reference do not teach that creating the index comprises storing deviation values for each of the identified number of features. However, the Office Action submits that the Agrawal patent teaches this. Applicants respectfully traverse. The Agrawal patent does not describe the claimed index that is a multidimensional database. Thus, the Agrawal patent does not cure the deficiencies of the Chen patent, the Ho reference, and the Information Builders, Inc. reference.

Therefore, claims 77, 82, and 87 are not taught or suggested by the Chen patent, the Ho reference, the Information Builders, Inc. reference or the Agrawal patent, either alone or in combination.

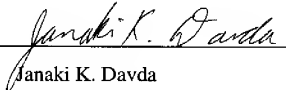
Conclusion

For all the above reasons, Applicants submit that the pending claims 55-87 are patentable over the art of record. Applicants have not added any claims. Nonetheless, should any additional fees be required, please charge Deposit Account No. 09-0460.

The attorney of record invites the Examiner to contact her at (310) 553-7973 if the Examiner believes such contact would advance the prosecution of the case.

Dated: January 5, 2005

By: _____


Janaki K. Davda
Registration No. 40,684

Please direct all correspondences to:

David Victor
Konrad Raynes & Victor, LLP
315 South Beverly Drive, Ste. 210
Beverly Hills, CA 90212
Tel: 310-553-7977
Fax: 310-556-7984



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09/747,515

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Transaction History

Date	Transaction Description
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06-14-2006	Mail Notice of Allowance
06-12-2006	Notice of Allowance Data Verification Completed
06-12-2006	Case Docketed to Examiner in GAU
05-02-2006	Information Disclosure Statement considered
02-10-2006	Information Disclosure Statement considered
05-02-2006	Information Disclosure Statement (IDS) Filed
02-13-2006	Workflow - Request for RCE - Finish
02-10-2006	Reference capture on IDS
02-10-2006	Information Disclosure Statement (IDS) Filed
03-31-2006	Date Forwarded to Examiner
02-10-2006	Request for Continued Examination (RCE)
03-31-2006	DISPOSAL FOR A RCE/CPA/129 (express abandonment if CP
03-31-2006	Case Docketed to Examiner in GAU
02-13-2006	Workflow - Request for RCE - Begin
02-14-2006	Record Petition Decision of Granted to Withdraw from Issue
02-10-2006	Workflow - Request for RCE - Begin
02-10-2005	Petition Entered
01-20-2006	Mail Response to 312 Amendment (PTO-271)
01-18-2006	Response to Amendment under Rule 312
11-22-2005	Amendment after Notice of Allowance (Rule 312)
11-09-2005	Amendment after Notice of Allowance (Rule 312)
12-21-2005	Application Is Considered Ready for Issue
11-28-2005	Issue Fee Payment Verified
11-28-2005	Issue Fee Payment Received
08-29-2005	Mail Notice of Allowance
08-29-2005	Mail Examiner Interview Summary (PTOL - 413)
08-24-2005	Notice of Allowance Data Verification Completed
08-19-2005	Examiner Interview Summary Record (PTOL - 413)
06-07-2005	Date Forwarded to Examiner
01-12-2005	Response after Non-Final Action
03-05-2005	Correspondence Address Change
03-05-2005	Correspondence Address Change
03-07-2005	Change in Power of Attorney (May Include Associate POA)

01-21-2005	Mail Examiner Interview Summary (PTOL - 413)
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10-06-2004	Case Docketed to Examiner in GAU
10-06-2004	Mail Non-Final Rejection
10-01-2004	Non-Final Rejection
08-11-2004	IFW TSS Processing by Tech Center Complete
07-14-2004	Mail Advisory Action (PTOL - 303)
07-14-2004	Advisory Action (PTOL-303)
06-30-2004	Information Disclosure Statement (IDS) Filed
06-30-2004	Reference capture on IDS
07-20-2004	Date Forwarded to Examiner
06-30-2004	Request for Continued Examination (RCE)
07-20-2004	DISPOSAL FOR A RCE/CPA/129 (express abandonment if CP
07-13-2004	Date Forwarded to Examiner
06-01-2004	Amendment after Final Rejection
06-30-2004	Workflow incoming amendment IFW
06-30-2004	Workflow - Request for RCE - Begin
06-01-2004	Workflow incoming amendment IFW
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10-01-2003	Non-Final Rejection
08-29-2003	Information Disclosure Statement (IDS) Filed
09-17-2003	Date Forwarded to Examiner
08-29-2003	Request for Continued Examination (RCE)
09-17-2003	DISPOSAL FOR A RCE/CPA/129 (express abandonment if CP
08-29-2003	Workflow - Request for RCE - Begin
08-13-2003	Mail Advisory Action (PTOL - 303)
08-12-2003	Advisory Action (PTOL-303)
08-06-2003	Date Forwarded to Examiner
07-30-2003	Amendment after Final Rejection
07-29-2003	Mail Examiner Interview Summary (PTOL - 413)
07-18-2003	Examiner Interview Summary Record (PTOL - 413)
04-30-2003	Mail Final Rejection (PTOL - 326)
04-29-2003	Final Rejection
02-27-2003	Date Forwarded to Examiner
02-24-2003	Response after Non-Final Action
11-15-2002	Mail Non-Final Rejection
11-15-2002	Non-Final Rejection
09-03-2002	Incoming Letter Pertaining to the Drawings
09-12-2002	Date Forwarded to Examiner
09-03-2002	Response after Non-Final Action
05-29-2002	Mail Non-Final Rejection
05-24-2002	Non-Final Rejection
04-25-2002	Case Docketed to Examiner in GAU
12-21-2000	Preliminary Amendment

07-09-2001	Case Docketed to Examiner in GAU
05-31-2001	Change in Power of Attorney (May Include Associate POA)
05-31-2001	Correspondence Address Change
05-31-2001	Change in Power of Attorney (May Include Associate POA)
01-26-2001	Application Dispatched from OIPE
01-24-2001	Correspondence Address Change
01-22-2001	Correspondence Address Change
01-22-2001	Correspondence Address Change
01-11-2001	IFW Scan & PACR Auto Security Review
12-21-2000	Initial Exam Team nn

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